

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

March 30, 2006

FLIESLER MEYER, LLP FOUR EMBARCADERO CENTER, 4TH FLOOR SUITE 400 SAN FRANCISCO, CA 94111-4156 US

Dear Sir/Madam,

Your refund request for 09785872 in the amount of \$130.00 has been denied .

This fee was not paid twice. On 06/11/01 a sur charge of \$130.00 was paid and on 02/01/06 a terminal disclaimer was paid.

Sincerely,

ELEANOR KURTZ Technical Center Others 703 308-9010 x177

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Rocky L. Stewart

Appln. No.:

09/785,872

Confirm. No.: 6323

Filed:

February 16, 2001

Title: PLUGGING HUB SYSTEM FOR ENTERPRISE

WIDE ELECTRONIC COLLABORATION

PATENT APPLICATION

Art Unit:

2154

Examiner: Patel, Ashok Kumar B.

Customer No. 23910

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8** 

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 28, 2006.

Teri Muii

Signature Date: February 28, 2006

# REQUEST FOR REFUND OF EXCESS FEES PAID UNDER 37 C.F.R. §1.26(a)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. § 1.26(a), Applicant requests refund of a fee paid in error with respect to the above-identified patent application.

A fee of \$130.00 was paid twice for Terminal Disclaimer as evidenced by the attached copy of our Deposit Account, copies of the transmittal, terminal disclaimer and copy of the check.

Also, enclosed is a copy of the fax to Examiner Patel explaining that the original disclaimer would follow by U.S. Mail with the fee attached.

The applicant respectfully request that a credit of \$130.00 be refunded to deposit account: 06-1325

Pursuant to 37 C.F. R. § 1.26(b) this request for refund is being submitted within two years from the date of payment of the fee paid in excess identified above.

Respectfully submitted,

Date: February 28, 2006

Karl. F. Kenna Reg. No. 45,445

FLIESLER MEYER LLP Four Embarcadero Center, Fourth Floor San Francisco, California 94111-4156 Telephone: (415) 362-3800

Atty: Docket No. BEAS-01033US4 Kfk/beas/1033US4/Request for refund

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Telephone: (415) 362-3800

Atty: Docket No. BEAS-01033US4 Kfk/beas/1033US4/Request for refund

# FLIESLER MEYER LLP INTELLECTUAL PROPERTY LAW

FACSIMILE	E TRANSMITTAL SHEET					
TO: Ashok Kumar B. Patel	FROM: Karl F. Kenna					
COMPANY:	DATE:					
U.S. Patent and Trademark Office	1/18/2006					
FAX NUMBER: 571.273.3972	TOTAL NO. OF PAGES INCLUDING COVER:					
PHONE NUMBER:	sender's reference number: BEAS-01033US4					
Appl. No. 09/785,872	YOUR REFERENCE NUMBER: 09/785,872					
☐ URGENT ☐ FOR REVIEW ☐ PLE	EASE COMMENT   PLEASE REPLY   PLEASE RECYCLE					
Please contact Teri Muir at (415) 362-3800 if you do not receive all pages indicated above.  NOTES/COMMENTS: Re: United States Patent Application No. 09/785,872 entitled:  "Pluggable Hub System for Enterprise Wide Electronic Collaboration"  Filed: February 16, 2001  Our Ref: BEAS-01033US4						
Dear Ashok:						
For your review is a copy of a Terminal D Original and fee will follow by U.S. Mail.	Disclaimer for the above-identified patent application.					
Should you have any questions please feel	free to contact the office.					
Best regards, Karl F. Kenna Reg: 45 445						

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r	O'TES/COMMENTS	¥	I .	
	· Re: United	DISTES Patent Annhance N		•
	Re: United "Pluggable	States Patent Application N	NO. U7/785,8/2 entitled:	
	"Pluggable	Hub System for Enterprise	Wide Electronic Collaboration"	
	"Pluggable Filed:	Hub System for Enterprise February 16, 2001	Wide Electronic Collaboration"	
	"Pluggable Filed:	Hub System for Enterprise	Wide Electronic Collaboration"	
	"Pluggable Filed:	Hub System for Enterprise February 16, 2001 BEAS-01033US4	Wide Electronic Collaboration"	
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į	"Pluggable Filed: Our Ref: Dear Ashol	Hub System for Emerprise February 16, 2001 BEAS-01033US4	Wide Electronic Collaboration"  Disclaimer for the above identified parent application	
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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Palent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

1			Application Number	09/785,872			
١	TRANSMITTAL FORM		Filing Date	2/16/2001			
			First Named Inventor	Rocky L. St	ewart		
-			Art Unit	2154			
١	(to be used for all correspondence after initial	l filing)	Examiner Name	Patel, Ashol	k Kumar B.		
(	Total Number of Pages in This Submission	2	Attorney Docket Number	BEAS-0103	3US4		
		ENCL	ENCLOSURES (Check all that apply)				
L	Fee Transmittal Form		Drawing(s)		After Allowance Communication to TC		
0	Fee Attached		icensing-related Papers		Appeal Communication to Board of Appeals and Interferences		
/ //	Amendment/Reply	F	Petition		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
7	After Final	L   F	Petition to Convert to a Provisional Application		Proprietary Information		
V	Affidavits/declaration(s)		Power of Attorney, Revocation Change of Correspondence A	ddress L	Status Letter		
ō	Extension of Time Request		ferminal Disclaimer	~	Other Enclosure(s) (please Identify below):		
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	Reply to Missing Parts/ Incomplete Application						
	Reply to Missing Parts under 37 CFR 1.52 or 1.53		•				
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	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
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	Signature	2		<del> </del>			
l	Printed name Sheldon R. Mey	er .	•				
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	I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:						
	Signature	Lisi	mui				
(	Typed or printed name Teri Muir				Date /-/8-06		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to fite (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING Docket Number (Optional) REJECTION OVER A PENDING "REFERENCE" APPLICATION BEAS-01033US4 tn re Application of: Rocky L. Stewart Application No.: 09/785,872 Filed: 2/16/2001 For: PLUGGABLE HUB SYSTEM FOR ENTERPRISE WIDE ELECTRONIC COLLABORATION BEA Systems, Inc. , of 100 percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of any patent granted on pending reference Application Number 09/906,658, filed on 7/16/01, as such term is defined in 35 U.S.C. 154 and 173, and as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any patent granted on said reference application, "as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending reference application," in the event that: any such patent: granted on the pending reference application: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant. Check either box 1 or 2 below, if appropriate. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. 2. X The undersigned is an attorney or agent of record. Reg. No. 27,660

Terminal disclaimer fee under 37 CFR 1.20(d) is included.

Sheldon R. Meyer

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Typed or printed name

415.362.3800 Telephone Number

Signature

\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this statement. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The Information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#### **Deposit Account Statement**

Requested Statement Month:

February 2006

**Deposit Account Number:** 

061325

Name:

FLIESLER MEYER LLP

Attention:

Address:

City:

SAN FRANCISCO

State:

CA 94111

Zip: Country:

**UNITED STATES OF AMERICA** 

FOUR EMBARCADERO CENTER

	DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
	02/01	1	09785872	BAES-01033US4	1814	\$130.00	\$10,707.00
	02/01	43	E-REPLENISHMENT		9203	-\$5,000.00	\$15,707.00
	02/01	78	60762966	SHNEY-01002US0	2005	\$100.00	\$15,607.00
	02/01	165	10279663	BEAS-01156U0	1801	\$790.00	\$14,817.00
	02/01	166	10279663	BEAS-01156U0	1251	\$120.00	\$14,697.00
	02/01	167	10279663	BEAS-01156U0	1202	\$650.00	\$14,047.00
	02/01	170	10116012	FXPL1038US O	1251	\$120.00	\$13,927.00
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	02/02	26	09658612	FXPL-01027US	1253	\$1,020.00	\$12,077.00
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